

Privacy Policy Financial Advisor For You

The relationship between Financial Advisor For You (FAFY) and our clients is our most important asset. We strive to maintain your trust and confidence in our firm, an essential aspect of which is our commitment to protect the confidentiality, integrity, and security of your personal information. All personal information provided to our firm is held in the strictest confidence. These records include personal information we collect from you in connection with any services or potential services provided by FAFY. We have never disclosed information to nonaffiliated third parties, except as required by law or by your consent, and do not anticipate doing so in the future. We will only share confidential information if it is at your direction in the implementation of your financial plan. We use your information to help you meet your personal financial goals while guarding against any real or perceived infringements of your rights of privacy. Indeed, FAFY returns original account statements, tax returns, and insurance information to the client after the engagement is over. FAFY does not keep copies of these records. Our policy with respect to personal information about you is listed below:

Categories of Information Collected

The categories of nonpublic personal information that we collect from a client depend upon the scope of the client engagement. These categories may include information:

- about your personal goals, dreams, and health to the extent needed for the planning process;
- we receive from you to provide financial planning services (such as your date of birth, address, telephone number, and financial information about your personal finances (bank accounts / investments) and insurance coverage;
- about transactions between you and third parties.

Information Kept Private

Access to your information is limited. Only the FAFY principal is allowed access to this information at this time. We do not share information with nonaffiliated parties except as required or permitted by law or by your consent. Federal regulations permit us to share a limited amount of information about you so that our firm can discuss your financial situation with your accountant or lawyer. We will require third parties that perform services to us to agree by contract to keep your information strictly confidential to the extent we FAFY uses such services in the future. We do not provide your personally identifiable information to mailing list vendors or solicitors.

Secure Environment

We maintain a secure office and computer environment to ensure that your information is not placed at unreasonable risk.

Maintenance of Information

Personally identifiable information about you will be maintained during the time you are a client, and for the required time that such records are required to be maintained by federal and state securities laws, and consistent with the CFP Board Code of Ethics and Professional Responsibility. We protect information of our former clients to the same extent as our current clients.